

Foundation Meeting

SAN JOAQUIN DELTA COMMUNITY COLLEGE DISTRICT

February 25, 2013

3:30 P.M.



Administration Building
Board Room, Administration 103
5151 Pacific Avenue
Stockton, CA 95207

San Joaquin Delta College District Foundation

Regular Meeting of the San Joaquin Delta College
Foundation Board
Monday February 25, 2013
3:30 p.m.
Horton Administration Bldg.
Room 103
5151 Pacific Avenue, Stockton, CA 95207

Agenda

SJDC Foundation Board Members

Dr. Elizabeth Blanchard
Stockton Ports Commissioner
SJDC Adjunct Faculty

Susan Grupe DePolo
San Joaquin A+

Mary Elizabeth Eberhardt-Sandstrom
Bank of Stockton

Dr. Moses Elam
Physician in Chief
Kaiser Permanente Medical Group

Dr. Kathy Hart
SJDC Superintendent/President

SJDC Foundation Staff

Valerie Stewart-Green
Executive Secretary to the
Superintendent/President

Shelley Pierce
Senior Accountant
Business Services

- I. Call To Order and Roll Call
- II. Public Comments
- III. Reports/Information
 - A. Hitting the Reset Button – Dr. Kathy Hart
- IV. New Business – Action Items
 - A. Approval of Minutes – February 7, 2012 (Attachment)
 - B. Appointment of Director #1 (Elam) to new three year term
 - C. Election of Officers for one year term – July 1, 2012 – June 30, 2013
 1. President
 2. Vice President
 3. Secretary/Treasurer (Superintendent/President)
 - D. Approval of Master Agreement Modifications (Attachment)
 1. Approval of Master Agreement Addendum #1 – Flea Market
 2. Addendum #2 – Loans (Attachment)
 - E. Financial Report for the 2011-12 Fiscal Year (Attachment)
 1. 2011-12 Audit Report
 2. 2011-12 990 Tax Return
 3. 2011-12 Financial Operating Summary
 - F. 2012-13 Budget (Attachment)
 - G. 2012-13 Second Quarter Financial Report (Attachment)
 - H. Listing of New Gifts and Donations Received (Attachment)
 - I. Establishment of Quarterly Meeting Calendar (Attachment)
- V. Agenda Items for Future Meetings/Next Meeting Date
- VI. Foundation Member General Questions/Announcements



SAN JOAQUIN DELTA COMMUNITY COLLEGE FOUNDATION

MINUTES OF THE REGULAR BOARD MEETING Tuesday, February 7, 2012

The regular meeting of the Board of Trustees of the San Joaquin Delta Community College District was held on Tuesday, February 7, 2012 at 3:00 p.m. Public Meeting; Administration 103, 5151 Pacific Avenue, Stockton, California.

Members Present: Teresa R. Brown, Board Chair; Elizabeth Blanchard, Vice Chair; Mary Elizabeth Eberhardt-Sandstrom; Kathy Hart

Absent: Carlos Villapudua; Moses Elam; Susan Depolo

I. Called to Order

The Board meeting was called to order at 3:00 p.m. by Board President Brown.

II. Public Comments

No public comments.

III. New Business – Action Items

The Foundation Board unanimously approved the New Business Action items by consensus. The minutes were approved with one change.

- A. Approval of Minutes – December 13, 2011
- B. Foundation Budget Update
- C. Master Agreement – Modification

IV. Reports/Information

- A. Review of Foundation Assets – Chris Yatooma
- B. Discussion of Board of Directors Insurance and Liability – Chris Yatooma
- C. Discussion on the Foundation Strategic Plan:
 - 1. Executive Director Position
 - 2. Passport to College
 - 3. Fundraising Strategies
 - 4. Alumni and Retirees
- D. Alumni Database – Michael Kerns

V. Agenda Items for Future Meetings

- A. By-Laws Update
- B. Investment Policy Review

Call to Order

Public Comments

New Business – Action Items

Reports/Information

Agenda Items for Future Meetings

VI. Foundation Member General Questions/Announcements/Informational Items None noted.	Foundation Member General Questions/Announcements/Informational Items
---	--

San Joaquin Delta College Foundation
Community Board of Directors

Initial Appointments December 13, 2011

Initial Term

Director #1	Dr. Moses Elam	Dec 2011-Jun 30, 2012
Director #2	Susan DePolo	Dec 2011-Jun 30, 2013
Director #3	Carlos Villapudua	Dec 2011-Jun 30, 2014
Director #4	Mary Elizabeth Eberhardt-Sandstrom	Dec 2011-Jun 30, 2013
Director #5	Dr. Elizabeth Blanchard	Dec 2011- Jun 30, 2013
Director #6	College Pesident	Continuous
Director #7	One member of College Board of Trustees	July-Jun 1 year appoint

The normal term for a director is 3 years and may be re-appointed with a limit of 8 consecutive years of service. So that there would be continuity and a seated quorum initial terms were staggered.

There are seven voting board members. The college president is designated as a voting director and a college trustee as appointed by the chair of the board of trustees each June is a voting member.

MASTER AGREEMENT

BY AND BETWEEN

THE SAN JOAQUIN DELTA COMMUNITY COLLEGE DISTRICT

AND

THE DELTA COLLEGE FOUNDATION

ADDENDUM #1

February, 2013

ASSIGNMENT OF FLEA MARKET OPERATIONS

The responsibility for the operation of the flea market is hereby assigned to the Foundation by the District and incorporated in the Master Agreement. The net proceeds of the flea market shall be revenue to the Foundation. Such revenues will first be applied to funding the Passport to College program and until that obligation is met shall not be directed to other uses. Revenues being held in trust by the District in anticipation of the execution of this addendum will be transferred to the Foundation upon execution of this addendum by both parties. Thereafter net revenues will be transferred quarterly from the District to the Foundation.

In carrying out this assignment the Foundation may utilize and rely upon the expertise of District in terms of systems, procedures, processes, staff resources and day-to-day functions necessary to administer the operation. These details will be determined by Foundation and District personnel.

As a result of recent experience District has an interest in establishing sound operational practices and as such investing some of its own resources in supervising and securing the market as it is transitioned to the Foundation.

MASTER AGREEMENT

BY AND BETWEEN

THE SAN JOAQUIN DELTA COMMUNITY COLLEGE DISTRICT

AND

THE DELTA COLLEGE FOUNDATION

ADDENDUM #2

February, 2013

This addendum is to clarify the intent of the parties regarding internal loans. If circumstances warrant the District from time-to-time may execute via a separate agreement loans to the Foundation. Such loans are to meet short term operational requirements of the Foundation within the charge of the Foundation contained in the Master Agreement. The loan agreements will be approved by the District and Foundation, incorporating terms and conditions such as length of the loan, repayment terms and interest costs if any.



Delta College Foundation
Statement of Assets, Liabilities & Fund Balance
June 30, 2012

	<u>2011-2012</u>
Current Assets	
Cash, checking and on hand-Foundation	\$301,986
Cash, checking and on hand-"The Market"	\$348,150
Prepaid Expense	\$0
Accounts/Interest Receivable	1,257
	<hr/>
Total Current Assets	651,393
	<hr/>
Investments	
Restricted Savings	322,869
Investment Cash on hand	1,088
Marketable Securities (at fair market value)	2,239,280
	<hr/>
Sub-Total Investments	2,563,237
Foundation Annuities	53,797
	<hr/>
Total Assets	\$3,268,427
	<hr/>
Liabilities and Fund Balance	
Accounts Payable-Foundation	\$12,740
Accounts Payable-"The Market"	\$2,045
Long-Term Liabilities	20,812
	<hr/>
Total Liabilities	\$35,597
	<hr/>
Fund Balance	
Unrestricted	\$68,140
Restricted	2,818,585
Restricted "The Market"	346,105
	<hr/>
Total Fund Balance	\$3,232,830
	<hr/>
Total Liabilities and Fund Balance	\$3,268,427
	<hr/>
Fund Balance Detail	
Beginning Fund Balance 7/1/11	\$2,745,941
Additions Accounts adding during yr	4,323
The Market	346,105
Net Increase/Decrease in current year	136,461
	<hr/>
Ending Balance 6/30/12	\$3,232,830
	<hr/>



Delta College Foundation
Operating Statement
June 30, 2012

Revenues & Support:

Fiscal Yr 2011-2012

Contributions:

President's Circle	\$0
Passport to College	17,032
Fundraising	61,708
Community College Councils	54,500
Caterpillar Donation	20,000
Car Faire	
Flea Market Revenue	581,147
Memberships	26,237
Misc. Donations/Sales	7,697
Realized/Unrealized Gains Losses	68,609
Interest/Investment Income	67,318

Total Contributions	<u>\$904,248</u>
----------------------------	------------------

Other Income:	\$0
---------------	-----

Total Revenue & Support	<u>\$904,248</u>
-------------------------	------------------

Expenditures:

Operating Expenses	
Materials & Supplies	\$11,839
Food Purchases	12,211
Event Registration	3,834
Other Operating Costs	33,586
Banking Charges	11,327
Other Program Expenses	28,994
Flea Market Expenses	235,042
Fundraising/Event Expense	8,098
Capital Outlay	20,000
Field Trips/Travel	34,442
Consultant/Contract Services	20,229

Total Expenditures	<u>\$419,602</u>
--------------------	------------------

NON-EXPENDITURE ACCOUNTS:

TRANSFERS IN	\$0
TRANSFERS OUT	<u>\$2,080</u>

Excess (deficiency) of income over expenses	<u>\$482,566</u>
--	------------------

Return of Organization Exempt From Income Tax

OMB No. 1545-0047

2011**Open to Public Inspection**Department of the Treasury
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2011 calendar year, or tax year beginning JULY 01, 2011, and ending JUNE 30, 20 12																							
B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td colspan="2">C Name of organization SAN JOAQUIN DELTA COMMUNITY COLLEGE FOUNDATION</td> <td>D Employer identification number 23-7367633</td> </tr> <tr> <td colspan="2">Doing Business As</td> <td rowspan="3">E Telephone number (209)954-5032</td> </tr> <tr> <td colspan="2">Number and street (or P.O. box if mail is not delivered to street address) Room/suite 5151 PACIFIC AVENUE</td> </tr> <tr> <td colspan="2">City or town, state or country, and ZIP + 4 STOCKTON, CA 95207</td> </tr> <tr> <td colspan="2">F Name and address of principal officer: KATHY HART 5151 PACIFIC AVENUE, STOCKTON, CA 95207</td> <td>G Gross receipts \$ 702,464</td> </tr> <tr> <td colspan="2">I Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () ◀ (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527</td> <td>H(a) Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions)</td> </tr> <tr> <td colspan="2">J Website: ▶ N/A</td> <td>H(c) Group exemption number ▶</td> </tr> <tr> <td colspan="2">K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶</td> <td>L Year of formation: 1973 M State of legal domicile: CA</td> </tr> </table>	C Name of organization SAN JOAQUIN DELTA COMMUNITY COLLEGE FOUNDATION		D Employer identification number 23-7367633	Doing Business As		E Telephone number (209)954-5032	Number and street (or P.O. box if mail is not delivered to street address) Room/suite 5151 PACIFIC AVENUE		City or town, state or country, and ZIP + 4 STOCKTON, CA 95207		F Name and address of principal officer: KATHY HART 5151 PACIFIC AVENUE, STOCKTON, CA 95207		G Gross receipts \$ 702,464	I Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () ◀ (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		H(a) Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions)	J Website: ▶ N/A		H(c) Group exemption number ▶	K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶		L Year of formation: 1973 M State of legal domicile: CA
C Name of organization SAN JOAQUIN DELTA COMMUNITY COLLEGE FOUNDATION		D Employer identification number 23-7367633																					
Doing Business As		E Telephone number (209)954-5032																					
Number and street (or P.O. box if mail is not delivered to street address) Room/suite 5151 PACIFIC AVENUE																							
City or town, state or country, and ZIP + 4 STOCKTON, CA 95207																							
F Name and address of principal officer: KATHY HART 5151 PACIFIC AVENUE, STOCKTON, CA 95207		G Gross receipts \$ 702,464																					
I Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () ◀ (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		H(a) Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions)																					
J Website: ▶ N/A		H(c) Group exemption number ▶																					
K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶		L Year of formation: 1973 M State of legal domicile: CA																					

Part I Summary

Activities & Governance	1	Briefly describe the organization's mission or most significant activities: TO OPERATE FOR THE ADVANCEMENT OF EDUCATION AND FOR CHARITABLE PURPOSES RELATED TO EDUCATION BY THE DISTRIBUTION OF FUNDS. TO SOLICIT AND RAISE FUNDS FOR THE PURPOSE OF AWARDED SCHOLARSHIPS. TO PROVIDE FUNDS TO SUPPLEMENT FEDERAL, STATE, AND LOCAL TAX REVENUES.
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.
	3	Number of voting members of the governing body (Part VI, line 1a) 3 6
	4	Number of independent voting members of the governing body (Part VI, line 1b) 4 6
	5	Total number of individuals employed in calendar year 2011 (Part V, line 2a) 5 0
	6	Total number of volunteers (estimate if necessary) 6 10
	7a	Total unrelated business revenue from Part VIII, column (C), line 12 7a 0
b	Net unrelated business taxable income from Form 990-T, line 34 7b 0	
Revenue	8	Contributions and grants (Part VIII, line 1h) 38,663 92,121
	9	Program service revenue (Part VIII, line 2g) 0 26,237
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d) 16,786 76,725
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) 74,160 17,490
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) 129,609 212,573
	Expenses	13
14		Benefits paid to or for members (Part IX, column (A), line 4) 0 0
15		Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10) 0 0
16a		Professional fundraising fees (Part IX, column (A), line 11e) 0 0
b		Total fundraising expenses (Part IX, column (D), line 25) ▶ 0
17		Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e) 79,854 110,372
18		Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25) 105,341 130,989
19	Revenue less expenses. Subtract line 18 from line 12 24,268 81,584	
Net Assets or Fund Balances	20	Total assets (Part X, line 16) 2,785,185 2,920,239
	21	Total liabilities (Part X, line 26) 39,244 33,512
	22	Net assets or fund balances. Subtract line 21 from line 20 2,745,941 2,886,727

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here		2/16/2013	Date
	Signature of officer KATHY HART, OFFICER Type or print name and title		
Paid Preparer Use Only	Print/Type preparer's name KRISTIN FICKER	Preparer's signature 	Date 2/7/13
	Firm's name ▶ CROWE HORWATH LLP	Firm's EIN ▶ 35-0921680	Check <input type="checkbox"/> if self-employed PTIN P01254056
	Firm's address ▶ 400 CAPITOL MALL, SUITE 1200, SACRAMENTO, CA 95814-4434	Phone no. (916)441-1000	

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Cat. No. 11282Y

Form **990** (2011)

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. SAN JOAQUIN DELTA COMMUNITY COLLEGE FOUNDATION	Employer identification number (EIN) or <input checked="" type="checkbox"/> 23-7367633
	Number, street, and room or suite no. If a P.O. box, see instructions. 5151 PACIFIC AVENUE	Social security number (SSN) <input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. STOCKTON, CA 95207	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► RAQUEL PUENTES-GRIFFITH

Telephone No. ► (209)954-5061 FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until February 15, 20 13, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☐ calendar year 20 or

► ☒ tax year beginning July 01, 20 11, and ending June 30, 20 12.

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Cat. No. 27916D

Form **8868** (Rev. 1-2012)

Part III Statement of Program Service AccomplishmentsCheck if Schedule O contains a response to any question in this Part III ☒

- 1** Briefly describe the organization's mission:
TO OPERATE FOR THE ADVANCEMENT OF EDUCATION AND FOR CHARITABLE PURPOSES RELATED TO EDUCATION BY THE
DISTRIBUTION OF FUNDS. TO SOLICIT AND RAISE FUNDS FOR THE PURPOSE OF AWARDED SCHOLARSHIPS. TO PROVIDE
FUNDS TO SUPPLEMENT FEDERAL, STATE, AND LOCAL TAX REVENUES.
- 2** Did the organization undertake any significant program services during the year which were not listed on the
prior Form 990 or 990-EZ? ☐ Yes ☒ No
If "Yes," describe these new services on Schedule O.
- 3** Did the organization cease conducting, or make significant changes in how it conducts, any program
services? ☐ Yes ☒ No
If "Yes," describe these changes on Schedule O.
- 4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by
expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of
grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 121,155 including grants of \$ 20,617) (Revenue \$ 26,237)
IN THE RECOGNITION AND ESTABLISHMENT OF THE FOUNDATION THERE SHALL BE A WRITTEN AGREEMENT BETWEEN THE
DISTRICT AND THE FOUNDATION ORGANIZATION WHICH SETS FORTH THE PURPOSES OF AN EXEMPT ORGANIZATION
UNDER SECTIONS 170(B)(1)(A), 501(C)(3), AND 509(A)(1) OF THE INTERNAL REVENUE CODE OF 1986 SECTIONS 72670
THROUGH 72682 OF THE EDUCATION CODE AND WITH THE REGULATIONS CONTAINED IN TITLE 5, SECTION 59250 ET SEQ.,
AS WELL AS DISTRICT BOARD POLICY. (CONTINUATION IN SCHEDULE O)

4b (Code:) (Expenses \$ including grants of \$) (Revenue \$)

4c (Code:) (Expenses \$ including grants of \$) (Revenue \$)

4d Other program services (Describe in Schedule O.)
(Expenses \$ 0 including grants of \$ 0) (Revenue \$ 0)

4e Total program service expenses 121,155

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1 ✓	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	2 ✓	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	✓
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	4	✓
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5	✓
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6	✓
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7	✓
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8	✓
9 Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9	✓
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V	10 ✓	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	11a	✓
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b	✓
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c	✓
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	11d ✓	
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e ✓	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f ✓	
12 a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII	12a ✓	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional	12b	✓
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	✓
14 a Did the organization maintain an office, employees, or agents outside of the United States?	14a	✓
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b	✓
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Parts II and IV	15	✓
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Parts III and IV	16	✓
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)	17	✓
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18 ✓	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19	✓
20 a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a	✓
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21	✓
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22 ✓	
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23	✓
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25</i>	24a	✓
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . .	24b	
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c	
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . .	24d	
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a	✓
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b	✓
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>	26	✓
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>	27	✓
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a	✓
b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b	✓
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c	✓
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29	✓
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30	✓
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31	✓
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32	✓
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33	✓
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>	34 ✓	
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	✓
b Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35b	
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36	✓
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37	✓
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? Note. All Form 990 filers are required to complete Schedule O	38 ✓	

Form 990 (2011)

Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response to any question in this Part V ☐

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	4	
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	0	
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	✓
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	0
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	2b	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	✓
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O	3b	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	✓
b	If "Yes," enter the name of the foreign country: See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	✓
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	✓
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c	
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?	6a	✓
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	✓
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	✓
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d	
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	✓
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f	✓
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g	
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h	
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8	
9	Sponsoring organizations maintaining donor advised funds.		
a	Did the organization make any taxable distributions under section 4966?	9a	
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b	
10	Section 501(c)(7) organizations. Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b	
11	Section 501(c)(12) organizations. Enter:		
a	Gross income from members or shareholders	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b	
13	Section 501(c)(29) qualified nonprofit health insurance issuers.		
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.	13a	
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b	
c	Enter the amount of reserves on hand	13c	
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a	✓
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b	

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. Check if Schedule O contains a response to any question in this Part VI ☒

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.	6	
b	Enter the number of voting members included in line 1a, above, who are independent	6	
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	✓
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?	3	✓
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	✓
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5	✓
6	Did the organization have members or stockholders?	6	✓
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	✓
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	✓
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a	The governing body?	8a	✓
b	Each committee with authority to act on behalf of the governing body?	8b	✓
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O.	9	✓

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No	
10a	Did the organization have local chapters, branches, or affiliates?	10a	✓
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	✓
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	✓
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	
13	Did the organization have a written whistleblower policy?	13	✓
14	Did the organization have a written document retention and destruction policy?	14	✓
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official	15a	✓
b	Other officers or key employees of the organization	15b	✓
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	✓
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ► CA

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☐ Own website ☐ Another's website ☒ Upon request

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ► RAQUEL PUENTES-GRIFFITH, 5151 PACIFIC AVENUE, STOCKTON, CA 95207, (209)954-5061

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent ContractorsCheck if Schedule O contains a response to any question in this Part VII ☐**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☒ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) DR. ELIZABETH BLANCHARD VICE CHAIR	1	✓		✓				0	0	0
(2) TERESA BROWN CHAIR	1	✓		✓				0	0	0
(3) DR. MOSES ELAM BOARD MEMBER	1	✓						0	0	0
(4) SUSAN DEPOLO BOARD MEMBER	1	✓						0	0	0
(5) CARLOS VILLAPUDUA BOARD MEMBER	1	✓						0	0	0
(6) MARY ELIZABETH EBERHARDT-SANDSTROM BOARD MEMBER	1	✓						0	0	0
(7) KATHY HART SUPERINTENDENT/PRESIDENT	1			✓				0	0	0
(8) RAQUEL PUENTES-GRIFFITH CONTROLLER	1			✓				0	0	0
(9) JEFF MARSEE EXECUTIVE DIRECTOR - TERMED	1			✓				0	0	0
(10) JANET HAGOOD - TERMED BUSINESS MANAGER	1			✓				0	0	0
(11)										
(12)										
(13)										
(14)										

Form 990 (2011)

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(15)										
(16)										
(17)										
(18)										
(19)										
(20)										
(21)										
(22)										
(23)										
(24)										
(25)										
1b Sub-total								0	0	0
c Total from continuation sheets to Part VII, Section A								0	0	0
d Total (add lines 1b and 1c)								0	0	0

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **0**

3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual

	Yes	No
3		✓
4		✓
5		✓

4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual

5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization	0	

Part VIII Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns	1a				
	b	Membership dues	1b				
	c	Fundraising events	1c				
	d	Related organizations	1d				
	e	Government grants (contributions)	1e				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	92,121			
	g	Noncash contributions included in lines 1a-1f: \$					
	h	Total. Add lines 1a-1f		92,121			
Program Service Revenue	2a	MEMBERSHIP FEES	Business Code 900099	26,237	26,237		
	b			0			
	c			0			
	d			0			
	e			0			
	f	All other program service revenue .		0	0	0	0
	g	Total. Add lines 2a-2f		26,237			
	Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)		67,318		
4		Income from investment of tax-exempt bond proceeds		0			
5		Royalties		0			
6a		Gross rents	(i) Real (ii) Personal				
b		Less: rental expenses					
c		Rental income or (loss)	0 0				
d		Net rental income or (loss)		0			
7a		Gross amount from sales of assets other than inventory	(i) Securities (ii) Other				
b		Less: cost or other basis and sales expenses	440,928				
c		Gain or (loss)	431,521				
d		Net gain or (loss)	9,407 0	9,407			9,407
8a		Gross income from fundraising events (not including \$ of contributions reported on line 1c). See Part IV, line 18	a	61,708			
b		Less: direct expenses	b	58,370			
c		Net income or (loss) from fundraising events		3,338			3,338
9a		Gross income from gaming activities. See Part IV, line 19	a				
b		Less: direct expenses	b				
c		Net income or (loss) from gaming activities		0			
10a		Gross sales of inventory, less returns and allowances	a				
b	Less: cost of goods sold	b					
c	Net income or (loss) from sales of inventory		0				
Miscellaneous Revenue		Business Code					
11a	MISCELLANEOUS INCOME	900099	14,152			14,152	
b			0				
c			0				
d	All other revenue		0	0	0	0	
e	Total. Add lines 11a-11d		14,152				
12	Total revenue. See instructions.		212,573	26,237	0	94,215	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Check if Schedule O contains a response to any question in this Part IX ☒

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.

	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	0			
2 Grants and other assistance to individuals in the United States. See Part IV, line 22	20,617	20,617		
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16	0			
4 Benefits paid to or for members	0			
5 Compensation of current officers, directors, trustees, and key employees	0			
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0			
7 Other salaries and wages	0			
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	0			
9 Other employee benefits	0			
10 Payroll taxes	0			
11 Fees for services (non-employees):				
a Management	0			
b Legal	0			
c Accounting	0			
d Lobbying	0			
e Professional fundraising services. See Part IV, line 17	0			
f Investment management fees	11,327	1,593	9,734	
g Other	6,141	6,141		
12 Advertising and promotion	0			
13 Office expenses	3,680	3,680		
14 Information technology	0			
15 Royalties	0			
16 Occupancy	0			
17 Travel	33,700	33,700		
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19 Conferences, conventions, and meetings	0			
20 Interest	0			
21 Payments to affiliates	0			
22 Depreciation, depletion, and amortization	0			
23 Insurance	0			
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a CAPITAL OUTLAY	20,000	20,000		
b OPERATING EXPENSES	4,300	4,200	100	
c EQUIPMENT/FACILITY RENTAL	865	865		
d	0			
e All other expenses	30,359	30,359	0	0
25 Total functional expenses. Add lines 1 through 24e	130,989	121,155	9,834	0
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720)	0			

Part X Balance Sheet

		(A) Beginning of year		(B) End of year
Assets	1 Cash—non-interest-bearing	286,398	1	346,587
	2 Savings and temporary cash investments	470,251	2	
	3 Pledges and grants receivable, net		3	
	4 Accounts receivable, net		4	1,257
	5 Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions)		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	5,000	9	
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 0		
	b Less: accumulated depreciation	10b 0	10c 0	0
	11 Investments—publicly traded securities	2,023,536	11	2,216,650
	12 Investments—other securities. See Part IV, line 11	0	12	53,798
	13 Investments—program-related. See Part IV, line 11	0	13	0
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11	0	15	301,947
16 Total assets. Add lines 1 through 15 (must equal line 34)	2,785,185	16	2,920,239	
Liabilities	17 Accounts payable and accrued expenses	39,244	17	8,500
	18 Grants payable		18	
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	0	25	25,012
	26 Total liabilities. Add lines 17 through 25	39,244	26	33,512
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets		27	-176,169
	28 Temporarily restricted net assets	2,745,941	28	3,062,896
	29 Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances.	2,745,941	33	2,886,727
	34 Total liabilities and net assets/fund balances.	2,785,185	34	2,920,239

Form **990** (2011)

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response to any question in this Part XI ☒

1	Total revenue (must equal Part VIII, column (A), line 12)	1	212,573
2	Total expenses (must equal Part IX, column (A), line 25)	2	130,989
3	Revenue less expenses. Subtract line 2 from line 1	3	81,584
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	2,745,941
5	Other changes in net assets or fund balances (explain in Schedule O)	5	59,202
6	Net assets or fund balances at end of year. Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	2,886,727

Part XII Financial Statements and ReportingCheck if Schedule O contains a response to any question in this Part XII ☐

- 1** Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other _____
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.
- 2a** Were the organization's financial statements compiled or reviewed by an independent accountant?
- b** Were the organization's financial statements audited by an independent accountant?
- c** If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.
- d** If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both:
☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis
- 3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?
- b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits

	Yes	No
2a		✓
2b	✓	
2c	✓	
3a		✓
3b		

Form **990** (2011)

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2011

Open to Public Inspection

Name of the organization

SAN JOAQUIN DELTA COMMUNITY COLLEGE FOUNDATION

Employer identification number

23-7367633

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E.)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: _____
- 5 ☒ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vii).** (Complete Part II.)
- 9 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.
 - a ☐ Type I b ☐ Type II c ☐ Type III—Functionally integrated d ☐ Type III—Other
 - e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
 - f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐
 - g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

	Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	11g(i)	
(ii) A family member of a person described in (i) above?	11g(ii)	
(iii) A 35% controlled entity of a person described in (i) or (ii) above?	11g(iii)	
 - h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1–9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
(A)									
(B)									
(C)									
(D)									
(E)									
Total									0

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Cat. No. 11285F

Schedule A (Form 990 or 990-EZ) 2011

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	243,607	727,606	74,070	38,663	92,121	1,176,067
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
3 The value of services or facilities furnished by a governmental unit to the organization without charge						0
4 Total. Add lines 1 through 3	243,607	727,606	74,070	38,663	92,121	1,176,067
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						617,053
6 Public support. Subtract line 5 from line 4.						559,014

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
7 Amounts from line 4	243,607	727,606	74,070	38,663	92,121	1,176,067
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	82,126	51,049	41,720	56,672	67,318	298,885
9 Net income from unrelated business activities, whether or not the business is regularly carried on						0
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)	0	0	598	26,425	14,152	41,175
11 Total support. Add lines 7 through 10						1,516,127
12 Gross receipts from related activities, etc. (see instructions)					12	26,237
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ▶ <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

14 Public support percentage for 2011 (line 6, column (f) divided by line 11, column (f))	14	36.87 %
15 Public support percentage from 2010 Schedule A, Part II, line 14	15	44.25 %
16a 33⅓% support test—2011. If the organization did not check the box on line 13, and line 14 is 33⅓% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶ <input checked="" type="checkbox"/>		
b 33⅓% support test—2010. If the organization did not check a box on line 13 or 16a, and line 15 is 33⅓% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>		
17a 10%-facts-and-circumstances test—2011. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>		
b 10%-facts-and-circumstances test—2010. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ <input type="checkbox"/>		

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II.
If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2011 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2010 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2011 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2010 Schedule A, Part III, line 17	18	%
19a 33¹/₃% support tests—2011. If the organization did not check the box on line 14, and line 15 is more than 33 ¹ / ₃ %, and line 17 is not more than 33 ¹ / ₃ %, check this box and stop here . The organization qualifies as a publicly supported organization <input type="checkbox"/>		
b 33¹/₃% support tests—2010. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 ¹ / ₃ %, and line 18 is not more than 33 ¹ / ₃ %, check this box and stop here . The organization qualifies as a publicly supported organization <input type="checkbox"/>		
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions <input type="checkbox"/>		

Part IV

Supplemental Information Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Return Reference	Identifier	Explanation						
SCHEDULE A, PART II, LINE 10	OTHER INCOME	Description	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
		MISC INCOME			598	26,425	14,152	41,175

**SCHEDULE D
(Form 990)**

Department of the Treasury
Internal Revenue Service
Name of the organization

Supplemental Financial Statements

► Complete if the organization answered "Yes," to Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
► Attach to Form 990. ► See separate instructions.

OMB No. 1545-0047

2011

**Open to Public
Inspection**

SAN JOAQUIN DELTA COMMUNITY COLLEGE FOUNDATION

Employer identification number
23-7367633

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? <input type="checkbox"/> Yes <input type="checkbox"/> No		
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? <input type="checkbox"/> Yes <input type="checkbox"/> No		

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).
☐ Preservation of land for public use (e.g., recreation or education) ☐ Preservation of an historically important land area
☐ Protection of natural habitat ☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►

4 Number of states where property subject to conservation easement is located ►

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year
 ►

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year
 ► \$

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 ► \$

(ii) Assets included in Form 990, Part X ► \$

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1 ► \$

b Assets included in Form 990, Part X ► \$

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a ☐ Public exhibition d ☐ Loan or exchange programs
- b ☐ Scholarly research e ☐ Other _____
- c ☐ Preservation for future generations
- 4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.
- 5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No
- b If "Yes," explain the arrangement in Part XIV and complete the following table:
- | | Amount |
|---------------------------------|--------|
| c Beginning balance | 1c |
| d Additions during the year | 1d |
| e Distributions during the year | 1e |
| f Ending balance | 1f |
- 2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No
- b If "Yes," explain the arrangement in Part XIV.

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

- | | (a) Current year | (b) Prior year | (c) Two years back | (d) Three years back | (e) Four years back |
|--|------------------|----------------|--------------------|----------------------|---------------------|
| 1a Beginning of year balance | 1,051,985 | 920,263 | 771,268 | 976,426 | |
| b Contributions | | | | | |
| c Net investment earnings, gains, and losses | 76,816 | 136,578 | 161,705 | -205,158 | |
| d Grants or scholarships | | | | | |
| e Other expenditures for facilities and programs | | | | | |
| f Administrative expenses | 5,155 | 4,856 | 12,710 | | |
| g End of year balance | 1,123,646 | 1,051,985 | 920,263 | 771,268 | |
- 2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
- a Board designated or quasi-endowment ☐ 100 %
- b Permanent endowment ☐ %
- c Temporarily restricted endowment ☐ %
- The percentages in lines 2a, 2b, and 2c should equal 100%.
- 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:
- | | Yes | No |
|-----------------------------|-----|----|
| (i) unrelated organizations | | ✓ |
| (ii) related organizations | | ✓ |
- b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R? ☐ 3b
- 4 Describe in Part XIV the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				0
b Buildings				0
c Leasehold improvements				0
d Equipment				0
e Other				0
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				0

Schedule D (Form 990) 2011

Part VII Investments—Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
(I)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

Part VIII Investments—Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) DUE FROM THE DISTRICT	301,947
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	301,947

Part X Other Liabilities. See Form 990, Part X, line 25.

1.	(a) Description of liability	(b) Book value
(1)	Federal income taxes	
(2)	LIABILITY UNDER SPLIT INTEREST AGREEMENTS	25,012
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
(11)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ►		25,012

2. FIN 48 (ASC 740) Footnote. In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740).

Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	212,573
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	130,989
3	Excess or (deficit) for the year. Subtract line 2 from line 1	3	81,584
4	Net unrealized gains (losses) on investments	4	59,202
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV.)	8	0
9	Total adjustments (net). Add lines 4 through 8	9	59,202
10	Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9	10	140,786

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	330,145
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments	2a	59,202
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV.)	2d	58,370
e	Add lines 2a through 2d	2e	117,572
3	Subtract line 2e from line 1	3	212,573
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1 :		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV.)	4b	0
c	Add lines 4a and 4b	4c	0
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12.)	5	212,573

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	189,359
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIV.)	2d	58,370
e	Add lines 2a through 2d	2e	58,370
3	Subtract line 2e from line 1	3	130,989
4	Amounts included on Form 990, Part IX, line 25, but not on line 1 :		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV.)	4b	0
c	Add lines 4a and 4b	4c	0
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18.)	5	130,989

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

SEE NEXT PAGE

Part XIV

Supplemental Information Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Identifier	Explanation	
SCHEDULE D, PART V, LINE 4	INTENDED USES OF ENDOWMENT FUNDS	THE INTENDED USE OF THE ENDOWMENT FUNDS IS TO PROVIDE GRANTS/SCHOLARSHIPS TO STUDENTS ATTENDING SAN JOAQUIN DELTA COLLEGE.	
SCHEDULE D, PART X, LINE 2	FIN 48 (ASC 740) FOOTNOTE	THE FOUNDATION HAS BEEN GRANTED TAX-EXEMPT STATUS BY THE INTERNAL REVENUE SERVICE PURSUANT TO CODE SECTION 501(C)(3) AND ALSO BY THE CALIFORNIA REVENUE AND TAX CODE SECTION 23701E.	
SCHEDULE D, PART XII, LINE 2D	OTHER REVENUES IN AUDITED FINANCIAL STATEMENTS NOT IN FORM 990	(a) Description	(b) Amount
		FUNDRAISING EXPENSES	58,370
SCHEDULE D, PART XIII, LINE 2D	OTHER EXPENSES IN AUDITED FINANCIAL STATEMENTS NOT IN FORM 990	(a) Description	(b) Amount
		FUNDRAISING EXPENSES	58,370

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

**Supplemental Information Regarding
Fundraising or Gaming Activities**

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2011

**Open to Public
Inspection**

Name of the organization

SAN JOAQUIN DELTA COMMUNITY COLLEGE FOUNDATION

Employer identification number

23-7367633

Part I

Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17.
Form 990-EZ filers are not required to complete this part.

- 1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- | | |
|---|--|
| a <input type="checkbox"/> Mail solicitations | e <input type="checkbox"/> Solicitation of non-government grants |
| b <input type="checkbox"/> Internet and email solicitations | f <input type="checkbox"/> Solicitation of government grants |
| c <input type="checkbox"/> Phone solicitations | g <input type="checkbox"/> Special fundraising events |
| d <input type="checkbox"/> In-person solicitations | |
- 2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☐ Yes ☐ No
- b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
Total ▶				0	0	0

- 3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1 STEAK & OYSTER DINNER (event type)	(b) Event #2 JAZZ FESTIVAL (event type)	(c) Other events 15 (total number)	(d) Total events (add col. (a) through col. (c))
Revenue	1 Gross receipts	26,054	20,550	15,104	61,708
	2 Less: Charitable contributions				0
	3 Gross income (line 1 minus line 2)	26,054	20,550	15,104	61,708
Direct Expenses	4 Cash prizes				0
	5 Noncash prizes				0
	6 Rent/facility costs	1,776	410		2,186
	7 Food and beverages				0
	8 Entertainment				0
	9 Other direct expenses	6,930	14,473	34,781	56,184
	10 Direct expense summary. Add lines 4 through 9 in column (d) ▶				(58,370)
	11 Net income summary. Combine line 3, column (d), and line 10 ▶				3,338

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
	7 Direct expense summary. Add lines 2 through 5 in column (d) ▶				()
	8 Net gaming income summary. Combine line 1, column d, and line 7 ▶				

9 Enter the state(s) in which the organization operates gaming activities: _____

a Is the organization licensed to operate gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain: _____

- | | | |
|----|---|--|
| 11 | Does the organization operate gaming activities with nonmembers? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 12 | Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 13 | Indicate the percentage of gaming activity operated in: | |
| a | The organization's facility | 13a % |
| b | An outside facility | 13b % |
| 14 | Enter the name and address of the person who prepares the organization's gaming/special events books and records: | |

Name ► _____

Address ►

- 15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☐ No
- b If "Yes," enter the amount of gaming revenue received by the organization ► \$ _____ and the amount of gaming revenue retained by the third party ► \$ _____.
- c If "Yes," enter name and address of the third party:

Name ► _____

Address ►

- 16** Gaming manager information:

Name ▶

Gaming manager compensation ▶ \$

Description of services provided ►

☐ Director/officer☐ Employee☐ Independent contractor

- 17 Mandatory distributions:
- a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☐ No
- b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$

Part IV **Supplemental Information.** Complete this part to provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Schedule G (Form 990 or 990-EZ) 2011

SCHEDULE I
(Form 990)

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

OMB No. 1545-0047

2011

**Open to Public
Inspection**

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

Department of the Treasury
Internal Revenue Service

Name of the organization

SAN JOAQUIN DELTA COMMUNITY COLLEGE FOUNDATION

Employer identification number

23-7367633

Part I General Information on Grants and Assistance

- 1** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No
- 2** Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000.

Part II can be duplicated if additional space is needed ▶ ☐

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							

- 2** Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶
- 3** Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat. No. 50055P

Schedule I (Form 990) (2011)

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1 SCHOLARSHIPS	72	20,617			
2					
3					
4					
5					
6					
7					
Part IV Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.					

SEE NEXT PAGE

Part IV

Supplemental Information Complete this part to provide the information required in Part I, line 2, and any other additional information.

Return Reference	Identifier	Explanation
SCHEDULE I, PART I, LINE 2	PROCEDURES FOR MONITORING USE OF GRANT FUNDS	FOR FOUNDATION SCHOLARSHIPS, THE FOUNDATION GENERALLY RECEIVES THE FUNDS DIRECTLY OR VIA THE DISTRICT CASHIER'S OFFICE AND DEPOSITS THEM INTO A "CONTRIBUTIONS" ACCOUNT TO TRACK THE REVENUE. THE MANAGER RESPONSIBLE FOR THE SCHOLARSHIPS MONITOR, REVIEW AND VERIFY THAT EXPENSES CHARGED TO THE SCHOLARSHIPS QUALIFY UNDER THE GUIDELINES PROVIDED BY THE DONOR. SCHOLARSHIP EXPENSES ARE CHARGED DIRECTLY TO OBJECT CODES WITHIN THE FOUNDATION FUNDS CALLED STUDENT AWARDS AND GRANTS OR GRANTS PAID.

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

OMB No. 1545-0047

2011

Open to Public Inspection

Name of the Organization
SAN JOAQUIN DELTA COMMUNITY COLLEGE FOUNDATION

Employer Identification Number
23-7367633

Return Reference	Identifier	Explanation				
FORM 990, PART III, LINE 4A	PROGRAM SERVICE ACCOMPLISHMENT	<p>THE BOARD OF TRUSTEES INFORMATION ITEM 2.1 DATED JUNE 17, 2003 STATES THE PURPOSE OF THE FOUNDATION:</p> <ul style="list-style-type: none">• TO OPERATE FOR THE ADVANCEMENT OF EDUCATION AND FOR CHARITABLE PURPOSES RELATED TO EDUCATION BY THE DISTRIBUTION OF FUNDS,• TO SOLICIT AND RAISE MONEY FOR THE SUPPORT OF EDUCATIONAL PROJECTS OF MERIT.• TO SOLICIT AND RAISE MONEY FOR THE PURPOSE OF AWARDING SCHOLARSHIPS AND LOANS TO ASSIST STUDENTS.• TO AFFORD AND ENCOURAGE OPPORTUNITIES FOR THE ESTABLISHMENT OF PERMANENT ART COLLECTIONS, ART ENDOWMENTS, RESEARCH AND EDUCATIONAL PROJECTS, SPECIAL EDUCATION AND COMMUNITY SERVICES PROGRAMS, INNOVATIVE PRACTICES, AND THE CONSTRUCTION OR PURCHASE OF BUILDINGS, FURNITURE, FURNISHINGS, OR FACILITIES• TO PROVIDE FUNDS SUPPLEMENTARY TO FEDERAL, STATE AND LOCAL TAX REVENUES <p>THE SPECIFIC PURPOSES FOR WHICH THE CORPORATION IS FORMED ARE TO ADVANCE THE INTERESTS AND PROMOTE THE WELFARE OF THE SAN JOAQUIN DELTA COMMUNITY COLLEGE DISTRICT. TO THIS END, THE CORPORATION SHALL:</p> <ul style="list-style-type: none">• RECEIVE, HOLD, INVEST AND ADMINISTER PROPERTY AND MAKE EXPENDITURES TO OR FOR THE BENEFIT, OF THE SAN JOAQUIN DELTA COMMUNITY COLLEGE DISTRICT, THEREBY ASSISTING IN DEVELOPING AND INCREASING THE FACILITIES OF THE DISTRICT FOR BROADER EDUCATIONAL OPPORTUNITIES AND SERVICE TO STUDENTS AND CITIZENS OF THE STATE OF CALIFORNIA BY ENCOURAGING GIFTS OF MONEY, PROPERTY, WORKS OF ART, HISTORICAL PAPERS AND DOCUMENTS, AND MUSEUM SPECIMENS OF EDUCATIONAL, ARTISTIC OR HISTORICAL VALUE OR ANY OTHER ASSETS OF VALUE OF ANY DESCRIPTION TO THE SAN JOAQUIN DELTA COMMUNITY COLLEGE DISTRICT FROM SOURCES OTHER THAN THOSE FROM WHICH THE DISTRICT NORMALLY RECEIVES REVENUE.• SOLICIT AND RAISE FUNDS FOR THE ESTABLISHMENT OF SCHOLARSHIPS AND OTHER STUDENT ASSISTANCE PROGRAMS AT SAN JOAQUIN DELTA COLLEGE AND FOR THE SUPPORT OF REGULAR ACADEMIC PROGRAMS AS WELL AS EDUCATIONAL PROJECTS OF MERIT.• HAVE THE POWER TO RECEIVE PROPERTY BY DEVISE OR BEQUEST, SUBJECT TO THE LAWS REGULATING THE TRANSFER OF PROPERTY BY DEED, WILL OR OTHERWISE, AND ACQUIRE AND HOLD ALL PROPERTY, REAL OR PERSONAL, INCLUDING INTERESTS, SHARES OF STOCK, BONDS AND SECURITIES OF OTHER CORPORATIONS OR OTHER BUSINESS ENTITIES.• HAVE THE POWER TO ACT AS TRUSTEE UNDER ANY TRUST INCIDENTAL TO THE PRINCIPAL OBJECTS OF THE CORPORATION AND TO RECEIVE, HOLD, ADMINISTER AND EXPEND FUNDS AND PROPERTY SUBJECT TO SUCH TRUST.• MANAGE, CONTROL, GRANT OPTIONS, SELL FOR CASH OR DEFERRED PAYMENTS, CONVEY, EXCHANGE, PARTITION, DIVIDE, IMPROVE, DEVELOP AND REPAIR CORPORATE PROPERTY.• BORROW MONEY, GIVE PROMISSORY NOTES OR BONDS THEREFORE, AND SECURE THE PAYMENT THEREFORE BY MORTGAGE OR DEED OF TRUST UPON PROPERTY, REAL OR PERSONAL.• HAVE THE POWER TO MAKE CONTRACTS AND DO ALL OTHER ACTS NECESSARY OR EXPEDIENT FOR THE ADMINISTRATION OF THE AFFAIRS AND ATTAINMENT OF THE PURPOSES OF THE CORPORATION.• PROVIDE ADVISORY COUNSEL AND ASSISTANCE TO THE SUPERINTENDENT/ PRESIDENT OF THE DISTRICT.				
FORM 990, PART VI, SECTION B, LINE 11B	REVIEW OF FORM 990 BY GOVERNING BODY	THE ORGANIZATIONS MANAGEMENT GROUP REVIEWS THE FORM 990 BEFORE IT IS FILED WITH THE IRS. ORGANIZATIONAL REVIEWERS CONSIST OF: RAQUEL PUENTES-GRIFFITH, ACTING CONTROLLER AND KATHY HART, SUPERINTENDENT/PRESIDENT				
FORM 990, PART VI, LINE 15A	COMPENSATION OF TOP MANAGEMENT OFFICIAL	THERE IS NO TOP MANAGEMENT OFFICIAL THAT IS COMPENSATED BY THE ORGANIZATION.				
FORM 990, PART VI, LINE 15B	COMPENSATION OF OTHER OFFICERS AND KEY EMPLOYEES	THERE ARE NO OTHER OFFICERS OR KEY EMPLOYEES OF THE ORGANIZATION SO THIS ANSWER HAS BEEN INTENTIONALLY ANSWERED "NO".				
FORM 990, PART VI, SECTION C, LINE 19	GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC	THE ORGANIZATION PUBLISHES ONLINE ANY BOARD POLICIES, GOVERNING DOCUMENTS, AND FINANCIAL STATEMENTS.				
FORM 990, PART XI, LINE 5	OTHER CHANGES IN NET ASSETS OR FUND BALANCES	<table><tr><th>(a) Description</th><th>(b) Amount</th></tr><tr><td>NET UNREALIZED GAINS (LOSSES) ON INVESTMENTS</td><td>59,202</td></tr></table>	(a) Description	(b) Amount	NET UNREALIZED GAINS (LOSSES) ON INVESTMENTS	59,202
(a) Description	(b) Amount					
NET UNREALIZED GAINS (LOSSES) ON INVESTMENTS	59,202					

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization

SAN JOAQUIN DELTA COMMUNITY COLLEGE FOUNDATION

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047

2011

**Open to Public
Inspection**

Employer identification number
23-7367633

Part I Identification of Disregarded Entities (Complete if the organization answered "Yes" to Form 990, Part IV, line 33.)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) _____					
(2) _____					
(3) _____					
(4) _____					
(5) _____					
(6) _____					

Part II Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1) SAN JOAQUIN DELTA COMMUNITY COLLEGE DISTRICT (94-1044400) 5151 PACIFIC AVENUE, STOCKTON, CA 95207	SCHOOL DISTRICT	CA	501(C)(1)		SJDCCD		✓
(2) _____							
(3) _____							
(4) _____							
(5) _____							
(6) _____							
(7) _____							

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat. No. 50135Y

Schedule R (Form 990) 2011

Part III Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1)												
(2)												
(3)												
(4)												
(5)												
(6)												
(7)												

Part IV Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							

Schedule R (Form 990) 2011

Part V Transactions With Related Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35, 35a, or 36.)**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

- a** Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity
- b** Gift, grant, or capital contribution to related organization(s)
- c** Gift, grant, or capital contribution from related organization(s)
- d** Loans or loan guarantees to or for related organization(s)
- e** Loans or loan guarantees by related organization(s)
- f** Sale of assets to related organization(s)
- g** Purchase of assets from related organization(s)
- h** Exchange of assets with related organization(s)
- i** Lease of facilities, equipment, or other assets to related organization(s)
- j** Lease of facilities, equipment, or other assets from related organization(s)
- k** Performance of services or membership or fundraising solicitations for related organization(s)
- l** Performance of services or membership or fundraising solicitations by related organization(s)
- m** Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)
- n** Sharing of paid employees with related organization(s)
- o** Reimbursement paid to related organization(s) for expenses
- p** Reimbursement paid by related organization(s) for expenses
- q** Other transfer of cash or property to related organization(s)
- r** Other transfer of cash or property from related organization(s)

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

	(a) Name of other organization	(b) Transaction type (a-f)	(c) Amount involved	(d) Method of determining amount involved	Yes	No
(1)						
(2)						
(3)						
(4)						
(5)						
(6)						

Schedule R (Form 990) 2011

Part VI **Unrelated Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" to Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under section 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	
(1)													
(2)													
(3)													
(4)													
(5)													
(6)													
(7)													
(8)													
(9)													
(10)													
(11)													
(12)													
(13)													
(14)													
(15)													
(16)													

Schedule R (Form 990) 2011

**Delta College Foundation Combined
with "The Market"
Proposed Adopted Budget
FYE 12-13**

	Proposed Adopted Budget 2012-13
Unrestricted Revenues	
Other Financing Sources-Dir. Compensation & Benefits	\$100,000
The Market-Sales	750,000
"Temporarily Restricted" Contributions-Foundation	
Passport to College	\$398,400
Fundraising	120,871
Sales/Misc Income	8,675
Community College Councils	67,215
Memberships	33,700
Diesel/Heavy Equipment Council Donation	20,000
Return on Investments "Temporarily Restricted"	
Interest/Investment Income	\$68,000
Realized/Unrealized Gains/Losses	70,475
Total Sources of Revenue	\$1,637,336

**Delta College Foundation Combined
with "The Market"
Proposed Adopted Budget
FYE 12-13**

	Proposed Adopted Budget 2012-13
<i>Operating Expenses Unrestricted-Uses:</i>	
Director Salaries and Benefits	\$100,000
<i>Unrestricted Operating Expenses- "Market"</i>	
"Market" Compensation and Benefits	\$141,403
Materials & Supplies	17,000
Food Purchases	3,000
Contract Services	6,000
Refuse Disposal	14,520
Security; Fire Systems	50,000
Equipment Rental	45,000
Other Operating Costs	47,490
New Equipment	35,000
Total Unrestricted Operating Expenses-Market	\$359,413
<i>Temp. Rest. Operating Expenses Councils, etc:</i>	
Materials & Supplies	\$19,555
Food Purchases	14,975
Memberships, Permits, Licenses & Fees	2,051
Advertising, etc.	2,400
Consultant/Contract Services	34,950
Other Program Expense	11,575
Banking Charges	11,440
Fundraising Costs	63,725
Facility/Equipment Rental	4,690
Other Expense	7,235
Capital Outlay	20,000
Field Trips, Travel, Training, Mtgs	44,985
Beneficiary/Fees Expense	4,200
Student Scholarships	37,025
Transfers Out	\$2,180
Total Rest. Uses (expenses) Foundation	\$280,986
Total Uses (expenses) Market & Foundation combined	\$740,399
"Foundation" Unrestricted Beginning Fund Balance	\$68,140
"Foundation" Unrestricted Estimated Ending Fund Balance After operating results () denotes a deficit	\$68,140
"Foundation" Restricted Beginning Fund Balance	\$2,818,585
"Foundation" Rest. Net Operating Results Income - Expenditures () denotes a deficit	\$506,350
"Foundation" Restricted Estimated Ending Fund Balance After operating results () denotes a deficit	\$3,324,935
Unrestricted "The Market"	\$346,105
"The Market" Unrest. Net Operating Results Income - Expenditures () denotes a deficit	\$390,587
"The Market" Unrest. Estimated Ending Fund Balance After operating results () denotes a deficit	\$736,692

**Delta College Foundation Combined
with "The Market"
Proposed Adopted Budget
FYE 12-13**

**Proposed Adopted
Budget
2012-13**

Note: The Market will become restricted after the passage of the master agreement addendum.



Delta College Foundation
Statement of Assets, Liabilities & Fund Balance
December 31, 2012

	<u>2012-2013</u>
Current Assets	
Cash, checking and on hand-Foundation	\$316,366
Cash, checking and on hand-"The Market"	\$455,213
Prepaid Expense	\$0
Accounts/Interest Receivable	(149)
	<hr/>
Total Current Assets	771,430
	<hr/>
Investments	
Restricted Savings	322,869
Investment Cash on hand	92,661
Marketable Securities (at fair market value)	2,265,619
	<hr/>
Sub-Total Investments	2,681,149
	<hr/>
Foundation Annuities	54,805
	<hr/>
Total Assets	\$3,507,384
	<hr/>
Liabilities and Fund Balance	
Accounts Payable-Foundation	\$5,881
Accounts Payable-"The Market"	\$2,558
Long-Term Liabilities	25,012
	<hr/>
Total Liabilities	\$33,451
	<hr/>
Fund Balance	
Unrestricted-General and Passport to College	186,614
Restricted	2,834,664
Restricted "The Market"	452,655
	<hr/>
Total Fund Balance	\$3,473,933
	<hr/>
Total Liabilities and Fund Balance	\$3,507,384
	<hr/>
Fund Balance Detail	
Beginning Fund Balance 7/1/12	\$3,232,830
Additions Accounts adding during yr	0
The Market	106,550
Net Increase/Decrease in current year	134,553
	<hr/>
Ending Balance 12/31/12	\$3,473,933
	<hr/>

**Delta College Foundation
Statement of Income & Expenditures**

Period Ending
Q2 2012 - 13

FYTD Actual

Revenue:

Contributions:

Passport to College -TR	\$	3,523
Passport to College -UR		117,995
Unrestricted Revenue		871
Fundraising		36,450
Memberships		15,669
Payroll Contributions		1,100
Sales		18,352
Community College Councils		21,163
Other Local Revenue		170
Diesel Heavy Equipment Council		5,500
"The Market"		286,689

Income From Investments:

Interest/Investment Income	38,881
Realized/Unrealized Gains/Losses	88,302

Total Revenue	\$	634,665
----------------------	-----------	----------------

Expenditures:

Operating Expenses General:

Fees		
Student Scholarships	\$	8,925

Operating Expenses Councils:

Materials & Supplies	6,849
Food Purchases	9,307
Other Program Expense	118,707
Postage, Printing, Duplicating	574
Banking Charges	6,387
Fundraising Costs	3,509
Equipment Rental	1,072
Consultant/Contract Services	33,305
Advertising, etc.	775
Memberships	199
Capital Outlay	5,500
Beneficiary Payment	2,100
Other Expense	4,034
Field Trips, Travel, Training	12,180

Subtotal Expenditures Foundation	\$	213,423
---	-----------	----------------

Operating Expenses Flea Mkt:

Salaries/Wages and Benefits	\$	126,386
Materials & Supplies		14,291
Food Purchases		1,691
Contract Services		20,670
Refuse Disposal		3,876
New Equipment		710
Advertising		8,039
Other Operating Costs		4,476

Subtotal Expenditures "The Market"	\$	180,139
---	-----------	----------------

Total Expenditures	\$	393,562
---------------------------	-----------	----------------

Excess (deficit) of revenue over expenses	\$	241,103
--	----	---------

**Delta College Foundation Combined
Statement of Income & Expenditures
and Comparison w/Budget As of Q2 12-13**

	Proposed Adopted Budget 2012-13	Current Budget 2012-13	Actuals as of Q2 2012-13	Current Budget to Actuals %
Unrestricted Revenues				
Delta College Foundation contributions	\$0	52,845	871	1.65%
Other Financing Sources-Dir. Compensation & Benefits	\$100,000	137,642		
The Market-Sales	750,000	750,000	286,689	38.23%
"Temporarily Restricted" Contributions-Foundation				
Passport to College	\$398,400	130,400	121,518	93.19%
Payroll Contributions			1,100	
Fundraising	120,871	78,346	36,450	46.52%
Sales/Misc Income	8,675	3,675	18,522	504.00%
Community College Councils	67,215	61,895	21,163	34.19%
Memberships	33,700	33,700	15,669	46.50%
Diesel/Heavy Equipment Council Donation	20,000	20,000	5,500	27.50%
		0		
Return on Investments "Temporarily Restricted"				
Interest/Investment Income	\$68,000	68,000	38,881	57.18%
Realized/Unrealized Gains/Losses	70,475	70,475	88,302	125.30%
Total Sources of Revenue	\$1,637,336	\$1,406,978	\$634,665	45.11%
Operating Expenses Unrestricted-Uses:				
Director Salaries and Benefits	\$100,000	\$137,642		
Unrestricted Operating Expenses-"Market"				
"Market" Compensation and Benefits	\$141,403	141,403	\$126,386	89.38%
Materials & Supplies	17,000	17,800	14,291	80.29%
Food Purchases	3,000	3,000	1,691	56.37%
Advertising		\$10,000	8,039	80.39%
Contract Services	6,000	6,000	20,670	344.50%
Refuse Disposal	14,520	14,520	3,876	26.69%
Security; Fire Systems	50,000	50,000		
Equipment Rental	45,000	50,265		
Other Operating Costs	47,490	11,025	4,476	40.60%
New Equipment	35,000	35,000	710	2.03%
Capital Outlay	0	20,000		
Reserves (includes excess of rev over exp)	390,587	390,987		
Total Unrestricted Operating Expenses-Market	\$750,000	\$750,000	\$180,139	24.02%
Temp. Rest. Operating Expenses Councils, etc:				
Materials & Supplies	\$19,555	\$12,005	\$6,849	57.05%
Subscriptions/Uniforms		1,400		
Food Purchases	14,975	14,975	9,307	62.15%
Event Registration		4,000		
Memberships, Permits, Licenses & Fees	2,051	2,051	199	9.70%

**Delta College Foundation Combined
Statement of Income & Expenditures
and Comparison w/Budget As of Q2 12-13**

	Proposed Budget 2012-13	Adopted Budget 2012-13	Current Budget 2012-13	Actuals as of Q2 2012-13	Current Budget to Actuals %
Advertising, etc.	2,400		1,400	775	55.36%
Consultant/Contract Services	34,950		20,200	33,305	164.88%
Other Program Expense	11,575		11,575	118,707	1025.55%
Postage, Printing, Duplicating			740	574	77.57%
Banking Charges	11,440		11,440	6,387	55.83%
Fundraising Costs	63,725		32,600	3,509	10.76%
Facility/Equipment Rental	4,690		3,740	1,072	28.66%
Other Expense	7,235		375	4,034	1075.73%
Capital Outlay	20,000		20,000	5,500	27.50%
Field Trips, Travel, Training, Mtgs	44,985		44,605	12,180	27.31%
Beneficiary/Fees Expense	4,200		4,200	2,100	50.00%
Student Scholarships	37,025		27,975	8,925	31.90%
Reserves (includes excess of rev over exp)	506,350		303,875		
Total Uses (expenses) Market & Foundation combined	\$1,635,156		\$1,404,798	\$213,423	15.19%
Transfers Out	\$2,180		\$2,180		0.00%
Net Operating Results Income - Expenditures () denotes a deficit	\$0		\$0	\$241,103	
Unrestricted Beginning Fund Balance	\$68,140		\$68,140	\$69,011	
Restricted Beginning Fund Balance	\$2,818,585		\$2,818,585	\$2,952,267	
Unrestricted "The Market"	\$346,105		\$346,105	\$452,655	
Ending Fund Balance After operating results () denotes a deficit	\$ 3,232,830		\$ 3,232,830	\$3,473,933	

Note: The Market will become restricted after the passage of the master agreement addendum.



Delta College Foundation **Summary of Investment Performance**

Period Ending December 31, 2012

	Morgan Stanley Wills	Morgan Stanley Acad Sch	Morgan Stanley Benerd	Morgan Stanley council stock	County Treasury	Annuity-M Jones	Bank of Stockton*	Totals
Beginning Market Value 10/1/2012	\$647,192	\$340,392	\$1,188,510	\$64,251	\$322,869	\$53,797	\$134,139	\$2,751,150
Cash Deposit								
Withdrawal								
Total Deposits	-	-	-	-	-	-	117,892	117,892
Investment Income & Growth								
Interest/Dividends	6,068	2,686	10,613	381		619	1,772	22,139
Sales Proceeds/Redemptions								
Realized Gains/Losses						492	424	916
Other Credits (includes sweeps)								
Total Investment Income	6,068	2,686	10,613	381	-	1,111	2,196	23,055
Unrealized Gains/Losses	(9,870)	(6,380)	(13,905)	(3,055)		2,332	(775)	(31,653)
Total Investment Income & Growth	(3,802)	(3,694)	(3,292)	(2,674)	-	3,443	1,421	(8,598)
Disbursements								
Fees	(816)	(429)	(1,498)			(335)	(336)	(3,414)
Loss on Sales								
Beneficiary Payments						(2,100)		(2,100)
Purchases								
Total Disbursements	(816)	(429)	(1,498)	-	-	(2,435)	(336)	(5,514)
Ending Market Value	\$642,574	\$336,269	\$1,183,720	\$61,577	\$322,869	\$54,805	\$253,116	\$2,854,930

PROPOSED FOUNDATION MEETINGS– MONTHS ONLY
(actual dates to be determined by Foundation members)

Month	Anticipated Actions/Topics of Discussion
June	budget; officers
Oct.	planning issues (update of bylaws)
Jan. (2014)	planning issues
April (2014)	budget development for the new year starting July 1

June 2013

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
		<u>Board Meeting</u>				
23	24	25	26	27	28	29
<hr/>						
30						

October 2013

Foundation
Meeting

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
		<u>Board Meeting</u>				
20	21	22	23	24	25	26
27	28	29	30	31		

January 2014

Foundation
Meeting

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
			1 New Year's Day	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

Foundation
Meeting

April 2014

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			